

**TOWER INVESTMENT & TRADING CO. LTD.**

Format of Holding of Specified Securities

1 Name of the Listed entity : **TOWER INVESTMENT & TRADING CO. LTD.**

2 Scrip Code /Name of Scri/ Class of Security : **030092**

3 Share Holding Pattern filed under Reg 31(1)(b) for Quarter ended : **30-Sep-16**

4 **DECLARATION** : The Listed Entity is required to submit the following declaration to the extent of Submission of information :

**Particulars**

- |   |   |           |
|---|---|-----------|
| 1 Whether the Listed Entity has issued any partly paid up shares ?                        | : | <b>NO</b> |
| 2 Whether the Listed Entity has issued any Convertible Securities or Warrants ?           | : | <b>NO</b> |
| 3 Whether the Listed Entity has any shares against which Depository receipts are issued ? | : | <b>NO</b> |
| 4 Whether the Listed Entity has any shares in locked-in ?                                 | : | <b>NO</b> |
| 5 Whether any shares held by Promoters are pledged or otherwise encumbered ?              | : | <b>NO</b> |
| 6 Whether the Listed entity has issued any differential voting rights?                    | : | <b>NO</b> |

**TABLE I : Summary Statement of holding of Specified Securities**

Category	Category of Shareholder	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying depository Receipts	Total No of shares held	Shareholding as a % of total no of shares (as a % of A+B+C2)	Number of Voting Rights held in each class of securities			No of shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital A+B+C2)	Number of Locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								(ix)					(xii)		(xii)			
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =(iv)+(v)+(vi)	(viii)				(x)	(xi)=(vii)+(x)			(xii)			(xiv)
								No of voting rights					No (a)	As a % of Total shares held (b)	No (a)	As a % of Total shares held (b)		
							Class	Class	Total	Total as a % of A+B+C								
A	Promoter & Promoter Group	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
B	Public	198	412130	0	0	412130	100.00	412130	0	412130	100.00	0	100.00	0	0	0	0.00	0
C	Non Promoter -Non Public	0	0	0	0	0	0.00	0	NA	0	0.00	0	0.00	0	0	0	0.00	0
C1	Shares underlying DRs	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
C2	Shares held by Employee Trusts	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	<b>Total</b>	<b>198</b>	<b>412130</b>	<b>0</b>	<b>0</b>	<b>412130</b>	<b>100.00</b>	<b>412130</b>	<b>0</b>	<b>412130</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

**Note :** Promoter and Promoter Group shall have the same meaning as defined under SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009

**TABLE II : Statement showing Shareholding Pattern of Promoter and Promoter Group**

	Category and Name of the Shareholders	PAN	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying Depository Receipts	Total No of shares held	Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital A+B+C2)	Number of Locked in shares		Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form	
									Class X	Class Y	Total			No (a)	As a % of Total shares held (b)			
	(I)	(II)	(III)	(IV)	(V)	(VI)	VII = (IV+V+VI)	(VIII)	(IX)			(X)	XI = (VII+X) as a % of (A+B+C2)	(XII)	(XIII)		(XIV)	
									No of voting rights			Total as a % of total voting rights		As a % of Total shares held (b)	No (a)	As a % of Total shares held (b)		
<b>1</b>	<b>Indian</b>																	
(a)	Individuals/ HUF																	
(b)	Central/State Governments																	
(c)	Financial Institutions/Banks																	
(d)	Any Other (specify)																	
	i) Bodies Corporate																	
	<b>Sub-Total (A)(1)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>2</b>	<b>Foreign</b>																	
(a)	Individuals (NRI/Foreign)																	
(b)	Government																	
(c)	Institutions																	
(d)	Foreign Portfolio Investor																	
(e)	Any other																	
	<b>Sub-Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group</b>																	
	<b>(A) = (A)(1) + (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

Note :

1. PAN Would not be displayed on website of stock exchanges

2. The term "encumbrance" has the same meaning as assigned under Regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations,2011

TABLE III : Statement showing Shareholding Pattern of Public shareholder

	Category and Name of the Shareholders	PAN	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying Depository Receipts	Total No of shares held	Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of shares underlying outstanding convertible securities (including warrants)	Total Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital)	Number of Locked in shares		Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form	
									No of voting rights					Total as a % of total voting rights	No (a)			As a % of Total shares held (b)
									Class X	Class Y	Total							
(I)	(II)	(III)	(IV)	(V)	(VI)	VII = (IV+V+VI)	(VIII)	(IX)			(X)	XI	(XII)		(XIII)	(XIV)		
<b>1</b>	<b>Institutions</b>																	
(a)	Mutual Funds																	
(b)	Venture Capital Funds																	
(c)	Alternate Investment funds																	
(d)	Foreign Venture Capital Investors																	
(e)	Foreign Portfolio Investors																	
(f)	Financial Institutions/Banks				0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(g)	Insurance Companies				0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(h)	Provident /Pension Funds																	
(i)	Any Other (specify)																	
	<b>Sub-Total (B)(1)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Central/State Government/ President of India</b>																	
	<b>Sub-Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
<b>3</b>	<b>Non-Institutions</b>																	
(a)	(i) Individual shareholders holding nominal share capital upto Rs 2 lakhs		187	185335	0	0	185335	44.97	185335	0	185335	44.97	0	44.97	0	0.00	0	0.00
	(ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakhs				0	0		0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
(b)	NBFCs registered with RBI		0															
(c)	Employee Trusts		0															
(d)	Overseas Depositories		0															
(e)	Any other		1	31376	0	0	31376	7.61	31376	0	31376	7.61	0	7.61	0	0.00	0	0.00
	Bodies Corporate				0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	Jyotsana Investment Co. Ltd.	AABCJ2535P	1	30321	0	0	30321	7.36	30321	0	30321	7.36	0	7.36	0	0.00	0	0.00
	Goneril Investment & Trading Co. Ltd.	AAACG9333K	1	30256	0	0	30256	7.34	30256	0	30256	7.34	0	7.34	0	0.00	0	0.00
	Assam Plywood Ltd.	AACCA5316Q	1	4300	0	0	4300	1.04	4300	0	4300	1.04	0	1.04	0	0.00	0	0.00
	Fund Flow Investment & Trading Co. Ltd.	AAACF4255H	1	10350	0	0	10350	2.51	10350	0	10350	2.51	0	2.51	0	0.00	0	0.00
	Khatu Investment & Trading Co. Ltd.	AABCK0786D	1	100	0	0	100	0.02	100	0	100	0.02	0	0.02	0	0.00	0	0.00
	Subarna Plantation & Trading Co. Ltd.	AAECS8392J	1	10000	0	0	10000	2.43	10000	0	10000	2.43	0	2.43	0	0.00	0	0.00
	Burlington Investments Pvt. Ltd.	AABCB0767F	1	25577	0	0	25577	6.21	25577	0	25577	6.21	0	6.21	0	0.00	0	0.00
	Gulmohur Trading Co. Pvt. Ltd.	AABCG6884G	1	29826	0	0	29826	7.24	29826	0	29826	7.24	0	7.24	0	0.00	0	0.00
	Kallol Investments Ltd.	AABCK1570H	1	30535	0	0	30535	7.41	30535	0	30535	7.41	0	7.41	0	0.00	0	0.00
	New Look Investment (Bengal) Ltd.	AABCN0486F	1	24154	0	0	24154	5.86	24154	0	24154	5.86	0	5.86	0	0.00	0	0.00
	Non Resident Indian				0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	Investor Education & Protection Fund				0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	Trust				0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	<b>Sub-Total (B)(3)</b>		<b>198</b>	<b>412130</b>	<b>0</b>	<b>0</b>	<b>412130</b>	<b>100.00</b>	<b>412130</b>	<b>0</b>	<b>412130</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Public Shareholding</b>																	
	<b>(B) = (B)(1)+(B)(2)+(B)(3)</b>		<b>198</b>	<b>412130</b>	<b>0</b>	<b>0</b>	<b>412130</b>	<b>100.00</b>	<b>412130</b>	<b>0</b>	<b>412130</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Details of the shareholders acting as persons in Concert including their Shareholding (No and %) :

Details of shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc :

