

TOWER INVESTMENT & TRADING CO. LTD.

Format of Holding of Specified Securities

1 Name of the Listed entity : **TOWER INVESTMENT & TRADING CO. LTD.**

2 Scrip Code /Name of Scri/ Class of Security : **030092**

3 Share Holding Pattern filed under Reg 31(1)(b) for Quarter ended : **30-Sep-17**

4 **DECLARATION** : The Listed Entity is required to submit the following declaration to the extent of Submission of information :

Particulars

- | | | |
|---|---|-----------|
| 1 Whether the Listed Entity has issued any partly paid up shares ? | : | NO |
| 2 Whether the Listed Entity has issued any Convertible Securities or Warrants ? | : | NO |
| 3 Whether the Listed Entity has any shares against which Depository receipts are issued ? | : | NO |
| 4 Whether the Listed Entity has any shares in locked-in ? | : | NO |
| 5 Whether any shares held by Promoters are pledged or otherwise encumbered ? | : | NO |
| 6 Whether the Listed entity has issued any differential voting rights? | : | NO |

TABLE I : Summary Statement of holding of Specified Securities

Category	Category of Shareholder	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying depository Receipts	Total No of shares held	Shareholding as a % of total no of shares (as a % of A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital A+B+C2)	Number of Locked in shares		Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form		
								(i)	(ii)	(iii)			(xii)	(xiii)				
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =(iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)=(vii)+(x)	(xii)	(xiii)	(xiv)			
								No of voting rights					No (a)	As a % of Total shares held (b)	No (a)	As a % of Total shares held (b)		
							Class	Class	Total	Total as a % of A+B+C								
A	Promoter & Promoter Group	5	119592	0	0	119592	29.02	119592	0	119592	29.02	0	29.02	0	0	0	0.00	0
B	Public	184	292538	0	0	292538	70.98	292538	0	292538	70.98	0	70.98	0	0	0	0.00	0
C	Non Promoter -Non Public	0	0	0	0	0	0.00	0	NA	0	0.00	0	0.00	0	0	0	0.00	0
C1	Shares underlying DRs	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
C2	Shares held by Employee Trusts	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Total	189	412130	0	0	412130	100.00	412130	0	412130	100.00	0	100.00	0	0	0	0.00	0

Note : Promoter and Promoter Group shall have the same meaning as defined under SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009

TABLE III : Statement showing Shareholding Pattern of Public shareholder

	Category and Name of the Shareholders	PAN	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying Depository Receipts	Total No of shares held	Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of shares underlying outstanding convertible securities (including warrants)	Total Shareholding as a % assuming full conversion or convertible securities (as a % of diluted share capital)	Number of Locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
									(IX)					(X)	XI	(XII)			(XIII)	
									Class X	Class Y	Total					No (a)	As a % of Total shares held (b)		No (a)	As a % of Total shares held (b)
	(I)	(II)	(III)	(IV)	(V)	(VI)	VII = (IV+V+VI)	(VIII)	(IX)			(X)	XI	(XII)		(XIII)		(XIV)		
									No of voting rights					No (a)	As a % of Total shares held (b)	No (a)	As a % of Total shares held (b)			
									Class X	Class Y	Total	Total as a % of total voting rights								
1	Institutions																			
(a)	Mutual Funds																			
(b)	Venture Capital Funds																			
(c)	Alternate Investment funds																			
(d)	Foreign Venture Capital Investors																			
(e)	Foreign Portfolio Investors																			
(f)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(h)	Provident /Pension Funds																			
(i)	Any Other (specify)																			
	Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	
2	Central/State Government/																			
	President of India																			
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
3	Non-Institutions																			
(a)	(i) Individual shareholders holding nominal share capital upto Rs 2 lakhs		181	123726	0	0	123726	30.02	123726	0	123726	30.02	0	30.02	0	0.00	0	0.00	0	
	(ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakhs		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(b)	NBFCs registered with RBI		0																	
(c)	Employee Trusts		0																	
(d)	Overseas Depositories		0																	
(e)	Any other																			
	Bodies Corporate				0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Khatu Investment & Trading Co. Ltd.	AABCK0786D	1	64138	0	0	64138	15.56	64138	0	64138	15.56	0	15.56	0	0	0	0.00	0	
	Burlington Investments Pvt. Ltd.	AABCB0767F	1	30570	0	0	30570	7.42	30570	0	30570	7.42	0	7.42	0	0	0	0.00	0	
	New Look Investment (Bengal) Ltd.	AABCN0486F	1	74104	0	0	74104	17.98	74104	0	74104	17.98	0	17.98	0	0	0	0.00	0	
	Non Resident Indian				0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Investor Education & Protection Fund				0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Trust				0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Sub-Total (B)(3)		184	292538	0	0	292538	70.98	292538	0	292538	70.98	0	70.98	0	0	0	0	0	
	Total Public Shareholding																			
	(B) = (B)(1)+(B)(2)+(B)(3)		184	292538	0	0	292538	70.98	292538	0	292538	70.98	0	70.98	0	0	0	0	0	

Details of the shareholders acting as persons in Concert including their Shareholding (No and %) :

Details of shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc :

